

Spontaneous variability of arterial blood pressure, carbon dioxide and cerebral blood flow in critically ill patients.

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Author contribution statement

MS was responsible for study design, data acquisition, and initial analysis and data interpretation, as well manuscript first draft, revisions, and final approval. All other authors were responsible for study design, detailed analysis, final interpretation, manuscript revisions, and final approval of the manuscript. All authors accept full responsibility for all aspects of the work presented in the manuscript.

Keywords

Carbon Dioxide, arterial pressure, cerebral blood flow, cerebral circulation, Cardiac arrest, Sepsis

Abstract

Word count: 195

Purpose: To measure the magnitude of spontaneous variations in arterial blood pressure, CO₂ and cerebral blood flow (CBF) as a potential pathophysiologic mechanism contributing to delirium and long-term cognitive impairment in critically ill patients.

Methods: In this prospective observational study, we measured mean arterial blood pressure (MAP), end-tidal CO₂ (PETCO₂) and middle cerebral artery blood flow velocity (MCAv) as an indicator of global CBF continuously over eight hours in twelve mechanically ventilated patients with sepsis, septic shock or post cardiac arrest

Results: All three parameters varied significantly within and between patients. Within patients, interquartile range (IQR) for MAP varied from 3 to 16 (median 8) mmHg, for CO₂ 1 to 6 (median 2) mmHg and for MCAv 4 to 27 (median 14) percent relative to baseline, with some patients showing greater variability than others. Between patients, median MAP varied from 66 to 97 (median 78, IQR 12) mmHg, CO₂ from 24 to 51 (median 36, IQR 15) mmHg, and MCAv from -24 to 46 (median 1, IQR 5) percent relative to baseline.

Conclusions: Arterial blood pressure, CO₂ and CBF vary widely both within and between mechanically ventilated critically ill patients when observed over eight hours.

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Ethics statements

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This study was carried out in accordance with the recommendations of the Office of Human Research Ethics, Western's Research Ethics Boards (REB), with written informed consent from all subjects. All subjects gave written informed consent in accordance with the Declaration of Helsinki. The protocol was approved by the Office of Human Research Ethics, Western's Research Ethics Boards (REB).

In review

Spontaneous variability of arterial blood pressure, carbon dioxide and cerebral blood flow in critically ill patients.

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1 **Abstract:**

2

3 **Purpose:** To measure the magnitude of spontaneous variations in arterial blood pressure,
4 CO₂ and cerebral blood flow (CBF) as a potential pathophysiologic mechanism
5 contributing to delirium and long-term cognitive impairment in critically ill patients.

6

7 **Methods:** In this prospective observational study, we measured mean arterial blood
8 pressure (MAP), end-tidal CO₂, (PETCO₂) and middle cerebral artery blood flow velocity
9 (MCAv) as an indicator of global CBF continuously over eight hours in twelve
10 mechanically ventilated patients with sepsis, septic shock or post cardiac arrest

11

12 **Results:** All three parameters varied significantly within and between patients. Within
13 patients, interquartile range (IQR) for MAP varied from 3 to 16 (median 8) mmHg, for
14 CO₂ 1 to 6 (median 2) mmHg and for MCAv 4 to 27 (median 14) percent relative to
15 baseline, with some patients showing greater variability than others. Between patients,
16 median MAP varied from 66 to 97 (median 78, IQR 12) mmHg, CO₂ from 24 to 51
17 (median 36, IQR 15) mmHg, and MCAv from -24 to 46 (median 1, IQR 5) percent
18 relative to baseline.

19

20 **Conclusions:** Arterial blood pressure, CO₂ and CBF vary widely both within and
21 between mechanically ventilated critically ill patients when observed over eight hours.

22

23 **Keywords:** carbon dioxide, arterial pressure, cerebral blood flow, cerebral circulation,
24 cardiac arrest, sepsis

25 **1 Introduction**

26 Delirium (1) and long-term (2) cognitive impairment is a common problem in critically
27 ill patients and survivors of critical illness. In acute settings, delirium is associated with
28 increased mortality and cost of care (1), and often leads to long-term cognitive
29 impairment that is severe (2), affects patients of all ages (2), and places a huge burden on
30 caregivers, families and society (3).

31
32 The etiology of both delirium and long-term cognitive impairment is multifactorial, with
33 impairment of cerebral blood flow (CBF) likely playing an important role given high
34 prevalence of ischemic findings in both grey and white matter on neuroimaging studies
35 (4,5), and on autopsy (6,7). Since CBF is exquisitely sensitive to changes in arterial blood
36 pressure and CO₂, and given that cerebral autoregulation is often impaired in critically ill
37 patients (8–10), we hypothesized that critically ill patients may sustain ischemic or
38 hyperemic injury as a result of adverse CBF changes induced by spontaneous fluctuations
39 in arterial blood pressure and CO₂.

40
41 Since both arterial blood pressure and CO₂ are closely monitored in intensive care units,
42 the aim of this study was to test the biologic plausibility of this hypothesis, by
43 quantifying intra and inter-patient variability in arterial blood pressure, CO₂ and CBF in a
44 cohort of critically ill patients during a typical day in the intensive care unit.

45

46 **2 Materials and methods**

47 **2.1 Study settings and patients**

48 This study was carried out in the intensive care units at two tertiary care teaching
49 hospitals in London, Canada. Institutional Health Science Research Ethics Board
50 approved this study. Written informed consent was obtained from all patients or their
51 substitute decision makers. We screened and enrolled adult (age ≥ 18 years) patients
52 within 48 hours of admission to the intensive care unit with diagnosis of sepsis, septic
53 shock or cardiac arrest. We excluded patients with prior craniotomy/craniectomy, or
54 known cerebrovascular disease.

55

56 **2.2 Study protocol**

57 Following enrollment, we monitored middle cerebral artery blood flow velocity (MCAv)
58 as an indicator of CBF, mean arterial pressure (MAP) and end-tidal CO₂ (PETCO₂)
59 continuously for 8 hours while patients lay supine in their bed. Monitoring was only
60 interrupted if the patient needed to be transported from the department (e.g. for imaging
61 studies). Demographic and appropriate patient data were extracted from the medical chart
62 using pre-defined case report forms. Arterial blood gases were drawn at the beginning
63 and the end of the monitoring period.

64

65 **2.3 CBF monitoring**

66 MCAv was used as an indicator of global CBF. It was monitored in one/both middle
67 cerebral arteries using 2-MHz transcranial Doppler probes (ST3, Spencer Technologies,
68 USA). After adequate signals were attained using standard technique, Doppler probes
69 were fixed in place using a commercially available head harness. Adequacy of MCAv
70 signals was continuously monitored throughout the 8 hours of observation by study

71 investigators, and probes were readjusted as needed to ensure same angle of insonation
72 and good signal power. Data were recorded continuously at 125 Hz using provided
73 software.

74

75 **2.4 MAP and PETCO₂ monitoring**

76 Arterial pressure was monitored using an indwelling arterial catheter placed into radial,
77 brachial or femoral arteries. CO₂ was monitored using continuous in-line capnography
78 module integrated into the ventilator circuit (S/5, Datex-Ohmeda, GE Healthcare, USA).
79 The transduced arterial pressure and expired CO₂ were displayed on clinical monitors
80 (S/5, Datex-Ohmeda, GE Healthcare, USA) and continuous waveforms were recorded at
81 300 Hz on a laptop computer using provided software (S5 collect, Datex-Ohmeda, GE
82 Healthcare, USA).

83

84 **2.5 Data acquisition, processing and analysis**

85 Continuous MCA_v, arterial pressure and CO₂ signals were imported into specially
86 developed software (LabView, National Instruments, USA) for offline analysis. Signals
87 were aligned using established time stamps. The entire 8-hr recording was then processed
88 to ensure adequacy of all signals and sections with poor signals (e.g. CO₂ and blood
89 pressure calibration artifacts, coughing, movement resulting in poor signals) were
90 excluded from analysis. The software then used custom algorithms to identify end-tidal
91 CO₂ and systolic, diastolic and mean arterial pressures and MCA_v, which were then
92 checked by investigators to ensure appropriate identification of these values from
93 continuous traces. Inappropriately picked values were manually readjusted or excluded
94 from the analysis (e.g. double end-tidal picking due to ventilator asynchrony). Resulting
95 MCA_v, MAP and PETCO₂ signals were averaged over 5 second intervals and exported in
96 ASCII format for statistical analysis.

97

98 **2.6 Statistical analyses**

99 Data were analyzed in Prism 7 (GraphPad, La Jolla, CA, USA). Descriptive statistics
100 were used to report patient demographics and relevant clinical data. We used box plots
101 and data distribution statistics to describe both within and between patient variation in
102 MCA_v, MAP and PETCO₂.

103

104 **3 Results**

105 **3.1 Patient details**

106 After five patients were excluded due to poor MCA_v signal or equipment malfunction, 12
107 patients were included in the analysis. The mean age of the participants was 61 ± 19
108 years, nine were male, three had documented history of hypertension and four of
109 obstructive lung disease. Eight patients had sepsis with three of those having septic
110 shock, and four were admitted post cardiac arrest. Median SOFA score on the day of
111 testing was 10 (IQR 5). Mean arterial-endtidal PCO₂ difference was 11 ± 7.9 mmHg.
112 Baseline characteristics are summarized in Table 1.

113

114 **3.2 Variability in measured parameters**

115 There was wide within and between patient variation in MAP, PETCO₂ and MCA_v over
116 the eight hours of recording (Figure 1). Within patients, IQR for MAP varied from 3 to

117 16 (median 8) mmHg with a range of 13 to 47 (median 30) mmHg. IQR for CO₂ varied
118 from 1 to 6 (median 2) mmHg with a range of 4 to 15 (median 9) mmHg. IQR for MCAv
119 varied from 4 to 27 (median 14) % related to baseline with a range of 21 to 104 (median
120 48) %. As shown in Figure 2, some patients showed greater variation in all measured
121 parameters over eight hours than others. Some patients also showed wide variation in
122 MCAv and MAP on the background of stable PETCO₂ (patient 11, Figure 3) suggesting
123 impaired autoregulation, while others had minimal variation in MCAv despite wide
124 variation in MAP and stable PETCO₂ (patient 8, Figure 3) suggesting intact
125 autoregulation.

126

127 Between patients, median MAP varied from 66 to 97 (median 78, IQR 12) mmHg,
128 minimum MAP from 51 to 87 (median 65, IQR 11) mmHg, and maximum MAP from 71
129 to 123 (median 95, IQR 18) mmHg. Median PETCO₂ varied from 24 to 51 (median 36,
130 IQR 15) mmHg, minimum PETCO₂ from 17 to 49 (median 31, IQR 15) mmHg and
131 maximum PETCO₂ from 26 to 60 (median 43, IQR 15) mmHg. Compared to baseline,
132 median MCAv varied from -24 to 46 (median 1, IQR 5) %, minimum MCAv from -95 to
133 -5 (median -24, IQR 37) %, maximum MCAv from 7 to 57 (median 17, IQR 14) %.

134

135 **4 Discussion**

136 Our results confirm wide *within* and *between* variation in arterial blood pressure, CO₂ and
137 CBF in ventilated critically ill patients over eight hours of observation. This variability
138 occurs despite close monitoring and control of arterial blood pressure and CO₂ in the
139 intensive care unit. Some patients had wider range of *within*-patient variation in measured
140 parameters than others (Figure 2), and in some patients the wide variation in arterial
141 blood pressure was associated with wide perturbation in CBF suggesting impaired
142 autoregulation (Figure 3).

143

144 This clinical significance of the observed CBF variation in ventilated critically ill patients
145 is presently unknown. However, given high prevalence of ischemic findings in both grey
146 and white matter on neuroimaging studies (4,5) and autopsy (6,7), coupled with
147 commonly impaired cerebral autoregulation (8–10) in critically ill patients, the observed
148 excursions of CBF due to spontaneous variations in arterial blood pressure and CO₂
149 provide a plausible biologic mechanism that may contribute to pathophysiology of
150 delirium and long-term cognitive impairment in these patients.

151

152 The proposed mechanism is similar to that seen in chronic hemodialysis patients, who
153 develop structural white matter brain injury with associated proportional cognitive
154 impairment as a result of cumulative dialysis-induced hemodynamic stress within one
155 year of starting dialysis (11). Interestingly, reduction of hemodynamic stress (via cooling
156 of dialysate) exhibited complete protection against white matter injury (11), suggesting
157 that interventions aimed at reducing hemodynamic instability may offer neuroprotection
158 in the critically ill.

159

160 In view of hemodialysis studies, heterogeneity in the magnitude of arterial blood
161 pressure, CO₂ and CBF variations *between* patients (Figure 2) is an important
162 observation, since hemodynamic stress and consequent ischemic and/or hyperemic brain

163 injury may potentially be related to magnitude and cumulative duration of these
164 variations. However, this requires further exploration with dedicated studies.

165
166 Another important observation from this study was the heterogeneity of CBF-MAP
167 relationship between patients, with some showing large variations in MAP and CBF
168 (suggesting impaired autoregulation), while others showing stable CBF despite large
169 MAP changes (suggesting intact autoregulation, Figure 3). While our sample size was too
170 small to determine any independent predictors of these differences based on patient
171 characteristics, this observation may explain why some studies of critically ill show
172 impaired cerebral autoregulation (8–10), while others show intact autoregulation (12,13),
173 and highlights the need to consider autoregulation status in individual patients when
174 prescribing MAP targets (14).

175

176 **4.1 Study limitations**

177 We used transcranial Doppler to measure MCAv as an estimate of global CBF. MCAv is
178 proportional to CBF as long as the diameter of the insonated vessel remains constant.
179 Previous research (15,16) indicates that MCAv is a reliable and valid index of global
180 CBF when compared against MRI (17), although recent studies suggest that this
181 assumption may be violated at the extremes of hypercapnia (18). Furthermore,
182 transcranial Doppler only measures global CBF and provides no information about
183 regional changes in CBF that can be gained from advanced imaging modalities such as
184 MRI. However, it does have the advantage of that it can be used at the bedside in the ICU
185 avoiding transport of unstable critically ill patients to the MRI, which is both risky and
186 cumbersome.

187

188 We used PETCO₂ for continuous estimate of arterial PCO₂, and compared PETCO₂ values
189 with arterial PCO₂ at the start and at the end of the experiment to estimate arterial-end
190 tidal PCO₂ difference. In our cohort the mean arterial-endtidal PCO₂ difference (11 ± 7.9
191 mmHg) was larger than that observed in previous cohort studies of critically ill patients
192 (4.0 ± 0.97 mm Hg) (19). PETCO₂ is known to deviate from PaCO₂, especially in patients
193 with lung disease (20). Five of our patients had documented history of lung disease (4
194 obstructive, 1 restrictive). The advantage of using PETCO₂ is that it can be recorded
195 continuously, but it should always be compared to corresponding arterial PCO₂ values as
196 was done in this study. Our study sample size was too small to explore potential
197 association between observed variability in CBF, MAP and PETCO₂ and clinical
198 outcomes.

199

200 **4.2 Future directions**

201 Future properly powered studies should determine whether the observed variability in
202 CBF due to spontaneous variation in arterial blood pressure and CO₂, when accumulated
203 over time, leads to structural brain injury, delirium or long-term cognitive impairment in
204 critically ill patients. Additional studies can then explore whether interventions aimed at
205 reducing this CBF variability abrogate structural brain injury and improve cognitive
206 outcomes.

207

208 **5 Conclusions**

209 CBF, arterial blood pressure and CO₂ vary significantly within and between
210 mechanically ventilated critically ill patients. Detailed study of these variations is an
211 important and novel entity that may uncover mechanism responsible for ICU-associate
212 brain injury, and provide previously unconsidered therapeutic targets for improvement of
213 long-term cognitive outcomes in critical illness survivors.

214

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In review

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Figure captions:

Figure 1: Box-plot graphs showing variability of mean MCA_v (expressed in % changes is signal from baseline), MAP and PETCO₂ in individual patients over 8 hours of observation. Cardiac arrest patients (01-04) are represented in red and sepsis/septic shock patients (05-12) are shown in blue. Note significant *within* and *between* patient variability in all measured parameters. Small dots represent outlier values

Figure 2: Continuous and respective box-plot graphs of mean MCA_v, MAP and PETCO₂ variation over eight hours from two patients illustrating low (Patient 01) and high (Patient 06) *within* patient variability in measured parameters

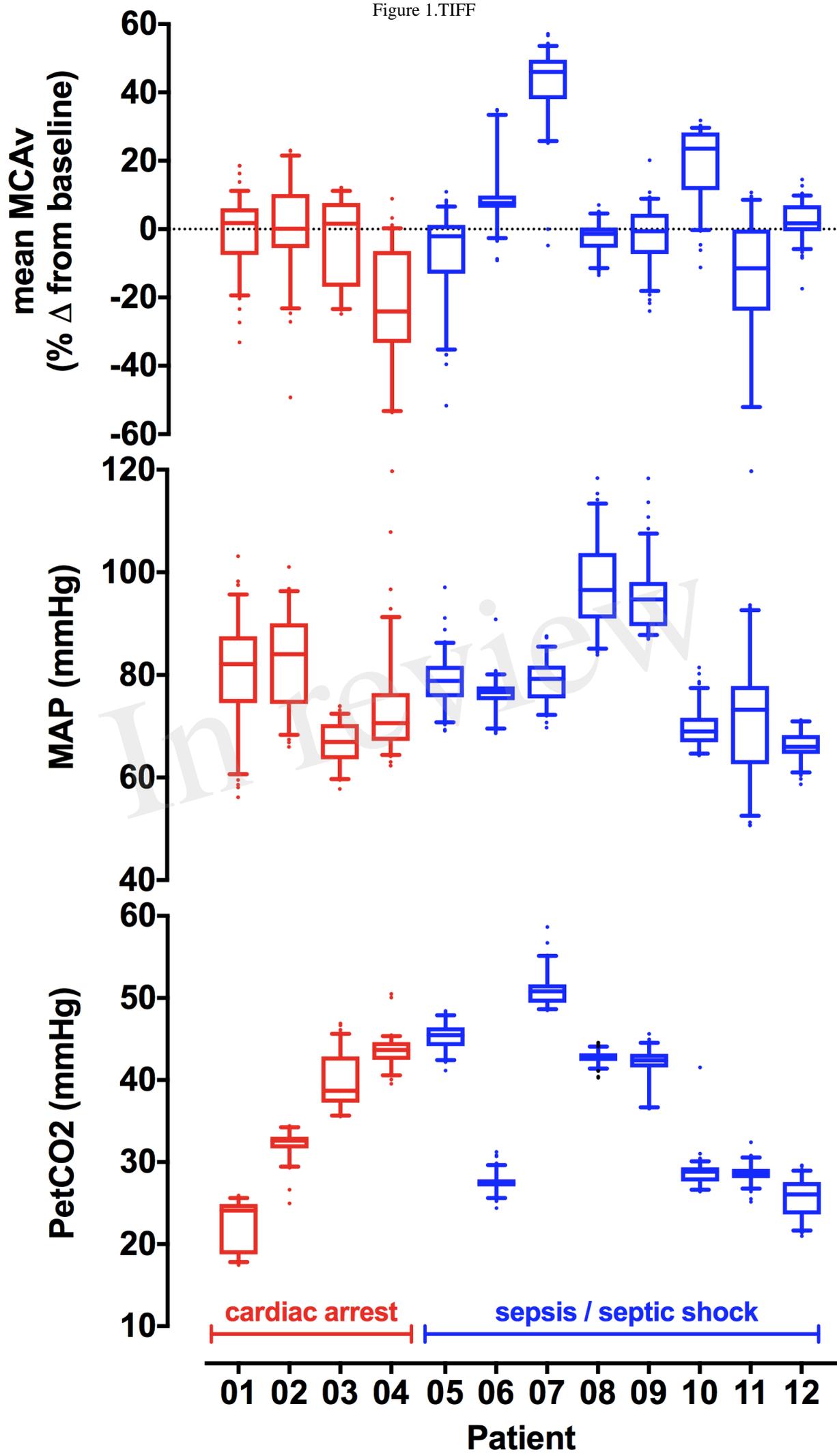
Figure 3: Continuous and respective box-plot graphs of mean MCA_v, MAP and PETCO₂ variability over eight hours from two patients illustrating presumably *intact* (Patient 08 - significant variability in MAP with minimal MCA_v variability) and *impaired* (Patient 11 – significant corresponding variability in MAP and MCA_v) autoregulation

Table 1: Baseline Patient Characteristics

| Baseline characteristics | |
|---|-------------|
| Number of patients | 12 |
| Age (years) | 61 ± 19 |
| Sex (M:F) | 9 : 3 |
| MODS score (ICU admission) | 6 (IQR 3) |
| NEMS score (study day) | 39 (IQR 11) |
| SOFA score (study day) | 10 (IQR 5) |
| Arterial-end-tidal PCO ₂ difference (mmHg) | 11 ± 7.9 |
| Admission Diagnosis | |
| Sepsis | 5 |
| Septic shock | 3 |
| Cardiac arrest | 4 |
| Ventilation mode | |
| Spontaneous | 4 |
| Controlled | 8 |
| Comorbidities | |
| Hypertension | 3 |
| Coronary artery disease | 3 |
| Congestive heart failure | 3 |
| Atrial fibrillation | 2 |
| Diabetes | 2 |
| Dyslipidemia | 2 |
| COPD or Asthma | 4 |
| Interstitial lung disease | 1 |

Data are reported as absolute numbers, mean ± SD, or median (interquartile range).

Figure 1.TIFF



PATIENT 01

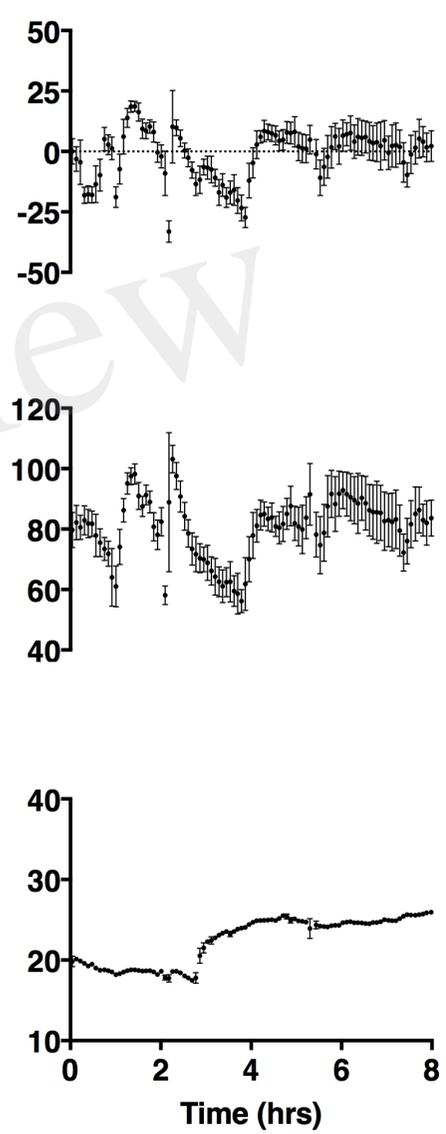
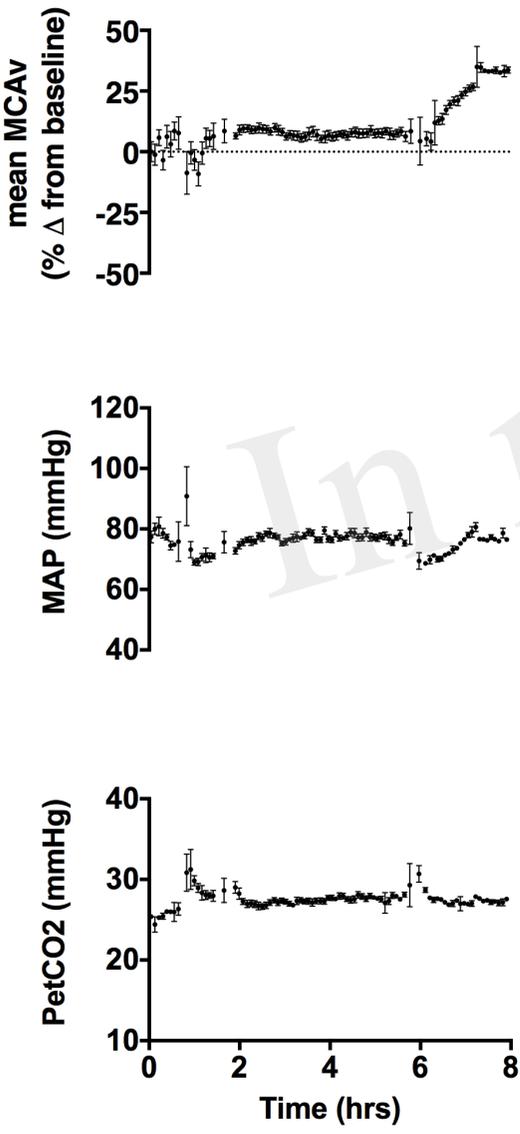
PATIENT 06

CONTINUOUS DATA

BOXPLOT

CONTINUOUS DATA

BOXPLOT



PATIENT 08

PATIENT 11

CONTINUOUS DATA

BOXPLOT

CONTINUOUS DATA

BOXPLOT

